

# Whittaker functions and connections to crystal graphs

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## Goals

- Study metaplectic Whittaker functions
- Derive generalized Tokuyama-type formulas (esp.  $G_2$ )
- Feedback between  $p$ -adic Whittaker functions and geometry
  - 1 (geometry  $\Rightarrow$  Whittaker) connect to crystal graphs
  - 2 (Whittaker  $\Rightarrow$  geometry) Reveal new structure on families of MV polytopes.

# Tokuyama's formula

## ■ The **Schur polynomial**

$$s_\lambda(x) = \sum_{\text{shape } \lambda} x^T$$

is the character of the finite dim'l representation  $V(\lambda)$  of  $SL_r$  of highest weight  $\lambda$ .

- **Tokuyama ('88)**: formula for the product of  $s_\lambda$  and a deformation of the Weyl denominator.
- **Brubaker-Bump-Friedberg (2010)**: reinterpret as a sum over *Kashiwara crystal graphs*.

# Crystal graphs

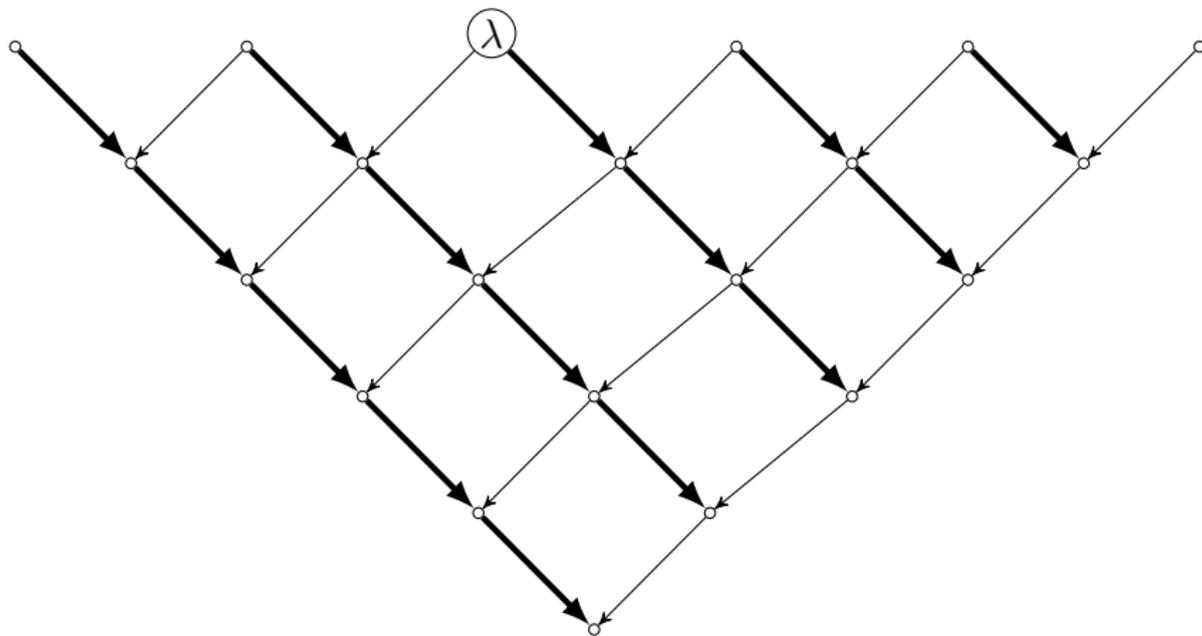
Kashiwara's theory of **crystal graphs** gives a combinatorial model for highest weight representations of a semisimple complex Lie algebra  $\mathfrak{g} = \text{Lie}(G)$ . For a dominant weight  $\lambda$ ,

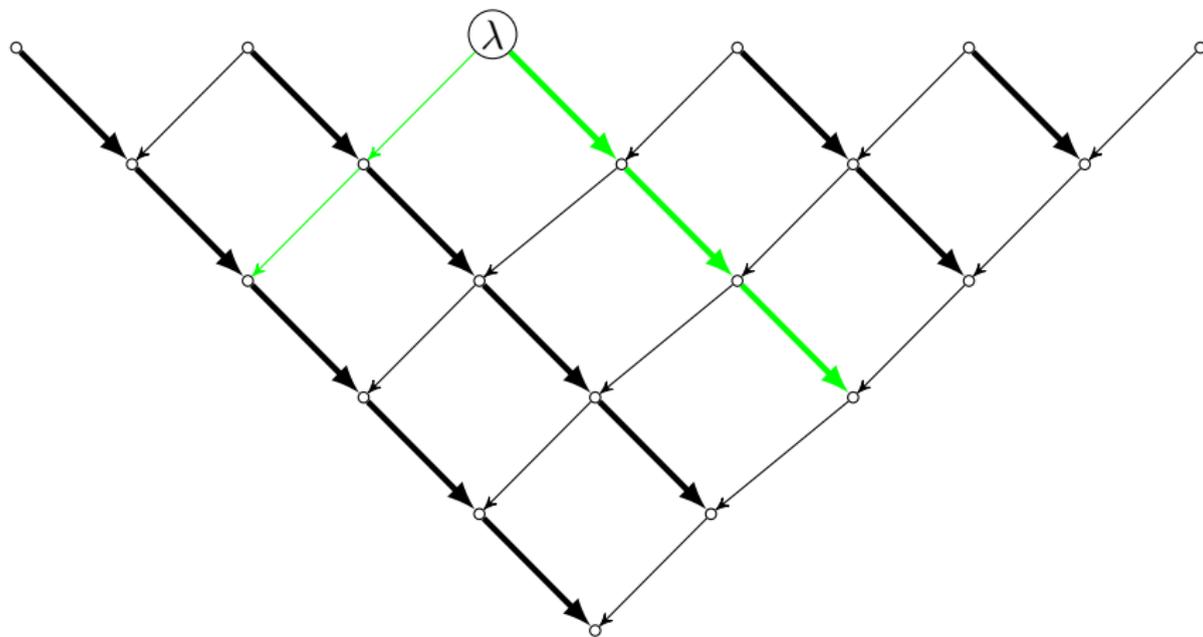
$V(\lambda) =$  finite dim'l representation of highest weight  $\lambda$

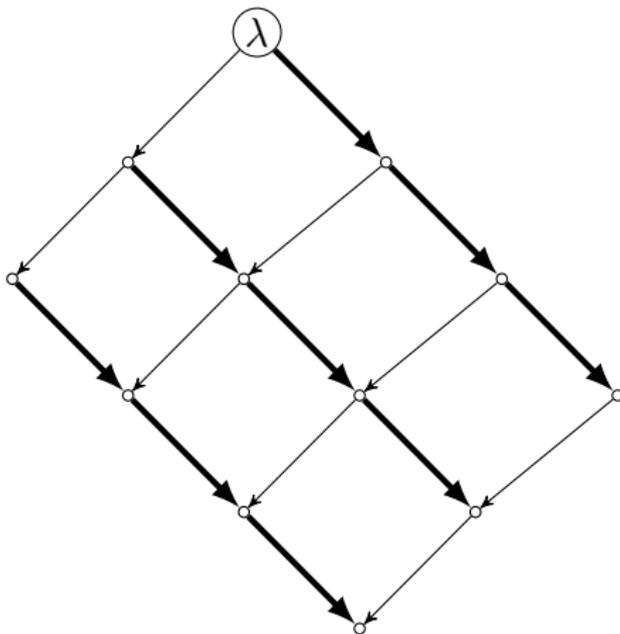
$\mathcal{B}(\lambda) =$  Kashiwara crystal that parametrizes weight basis

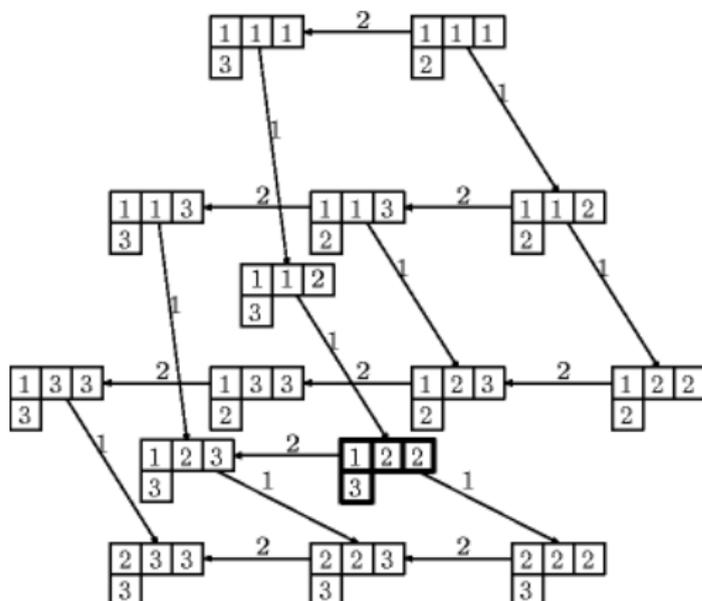
All these finite crystals  $\mathcal{B}(\lambda)$  may be given as distinguished subsets of the infinite crystal  $\mathcal{B}(-\infty)$ .

Toy picture:  $\mathcal{B}(-\infty)$  for  $\Phi = A_1 \times A_1$



Toy picture: Bounding hyperplanes for  $\mathcal{B}(1, 2)$ 

Toy picture:  $\mathcal{B}(\lambda)$  for  $\lambda = (1, 2)$ 

Crystal graphs:  $A_2$ ,  $\lambda = 2\omega_1 + \omega_2$ 

Kashiwara crystal graphs:

$$(\mathcal{B}(\lambda), e_i, f_i, wt, \dots)$$

here

$e_i$  = raising operator for  $\alpha_i$ ,

$f_i$  = lowering operator for  $\alpha_i$ ,

for  $\alpha_i$  the simple roots.

# Tokuyama's formula

## Theorem (Tokuyama)

Let  $\lambda$  be a dominant weight of  $SL_r(\mathbb{C})$ , and let  $z \in T(\mathbb{C})$ . Then

$$\prod_{\alpha \in \Phi^+} (1 - q^{-1} z^\alpha) s_\lambda(z) = \sum_{\nu \in \mathcal{B}(\lambda + \rho)} H(\nu) z^{wt(\nu) - w_0(\lambda + \rho)}.$$

Here,  $wt : \mathcal{B}(\lambda + \rho) \rightarrow X^*(T)$  is the weight map of the finite crystal  $\mathcal{B}(\lambda + \rho)$ .

The Tokuyama function  $H$  is defined combinatorially in terms of how  $\nu$  sits inside  $\mathcal{B}(\lambda + \rho)$ .

# Example: $SL(2)$ , $\lambda = 3\omega$ , $V(\lambda) = \text{Sym}^3(\mathbb{C}^2)$

For  $z = \text{diag}(t, t^{-1})$  for  $t \in \mathbb{C}^\times$ .

## Example of Tokuyama's identity

$$\begin{aligned}
 (1 - q^{-1}t^2) \overbrace{(t^3 + t + t^{-1} + t^{-3})}^{s_\lambda(z)} \\
 = t^{-3} + (1 - q^{-1})t^{-1} + (1 - q^{-1})t \\
 + (1 - q^{-1})t^3 + (-q^{-1})t^5
 \end{aligned}$$

Note:  $\lambda + \rho = 4\omega$ .

# Notation

- split simple algebraic group  $G = G(\mathbb{Q}_p)$  (for a  $p$  a prime).
- Opposite Borels  $B, B^-$ ,
- unipotent radicals  $U, U^-$ ,
- split maximal torus  $T = B \cap B^-$ .

## Remark

Much of this generalizes to  $n$ -fold covers of  $G$ , but unless otherwise stated we assume  $n = 1$ .

# Whittaker functions

Tokuyama's formula and its generalizations are related to Spherical Whittaker functions.

- Fix a generic character  $\psi : U^- \rightarrow \mathbb{C}^\times$ , and a dominant coweight  $\lambda$ .
- Let  $\tau \in T^\vee(\mathbb{C}) \subset G^\vee(\mathbb{C})$ . There is a unique function  $\varphi_\tau : G \rightarrow \mathbb{C}$ , called the a (normalized) spherical function, such that

$$\varphi(bk) = \delta^{1/2} \tau(b)$$

for  $k \in G(\mathbb{Z}_p)$ ,  $b \in B$ . (Note:  $G = B \cdot G(\mathbb{Z}_p)$ )

## Spherical Whittaker function

We are interested in the integral

$$I_\lambda(\tau) := \int_{U^-} \varphi_\tau(u) \psi(p^\lambda u p^{-\lambda}) du.$$

# Casselman-Shalika

## Theorem (Casselman-Shalika)

Fixing  $\tau \in T^\vee(\mathbb{C})$  and  $\lambda$  dominant as before,

$$I_\lambda(\tau) = \tau^{w_0\lambda} \prod_{\alpha \in \Phi^+} (1 - \rho^{-1}\tau^\alpha) \chi_\lambda(\tau),$$

where  $\chi_\lambda$  is the character of the finite dim'l representation  $V(\lambda)$  of  $\mathfrak{g}^\vee = \text{Lie}(G^\vee)$ .

Tokuyama's formula gives an expression of  $I_\lambda(\tau)$  as a sum over  $\mathcal{B}(\lambda + \rho)$  in type  $A$ .

## Goal

Generalize this formula for the spherical Whittaker function to other types and covering groups

## Motivations/Applications

Spherical Whittaker functions arise when computing Fourier coefficients of Eisenstein series

- Play central role in Langlands-Shahidi and Rankin-Selberg methods of studying automorphic  $L$ -functions

When our group  $G$  is replaced by a *metaplectic covering group*

$$1 \rightarrow \mu_n \rightarrow \tilde{G} \rightarrow G \rightarrow 1,$$

these coefficients have several applications to number theory:

- eg: moments of  $L$ -functions, multiple Dirichlet series, metaplectic automorphic forms
- Standard techniques do not work for covering groups: need new methods for computing Spherical Whittaker functions!

## A bit more notation

- Let  $w_0 \in W$  be the longest element.
- If  $w_0 = s_{i_1} s_{i_2} \cdots s_{i_N}$  is minimal, we call  $\underline{i} = (i_1, i_2, \dots, i_N)$  a reduced word for  $w_0$ .
- The choice of  $\underline{i}$  orders the positive roots  $\Phi^+$ :

### Example

$G = \mathrm{SL}(3)$  The choice  $\underline{i} = (1, 2, 1)$  induces

$$\alpha_2 < \alpha_1 + \alpha_2 < \alpha_1.$$

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### Example

$G = \mathrm{SL}(3)$  The choice  $\underline{i} = (2, 1, 2)$  induces

$$\alpha_2 > \alpha_1 + \alpha_2 > \alpha_1.$$

# Crystal expansion

The most direct connection between Whittaker functions and crystals is geometric.

## Theorem (McNamara)

Let  $\underline{i} = (i_1, \dots, i_N)$  be a reduced expression of  $w_0$ . There is a decomposition (given by an Iwasawa decomposition)

$$U^- = \bigsqcup_{\mathbf{m} \in \mathcal{B}(-\infty)} C^{\underline{i}}(\mathbf{m}).$$

In particular, the Spherical Whittaker function  $I_\lambda$  has a formal expansion

$$I_\lambda = \sum_{\mathbf{m} \in \mathcal{B}(-\infty)} I_\lambda(\mathbf{m}).$$

# Idea behind this decomposition

Let  $G = \mathrm{SL}(2)$ ,  $x \in \mathbb{Q}_p$ .

$$\begin{pmatrix} 1 & \\ x & 1 \end{pmatrix} = \begin{cases} \begin{pmatrix} 1 & \\ x & 1 \end{pmatrix} & \text{if } x \in \mathbb{Z}_p \\ \begin{pmatrix} x^{-1} & 1 \\ & x \end{pmatrix} \begin{pmatrix} & -1 \\ 1 & x^{-1} \end{pmatrix} & \text{if } x \notin \mathbb{Z}_p. \end{cases}$$

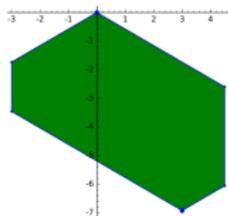
Setting  $m = -\min\{\mathrm{val}(x), 0\}$ , then  $U^- = \sqcup_{m \geq 0} C(m)$ .

For higher rank groups, the choice of  $\underline{i}$  orders the positive roots, so we can apply this step root-by-root.

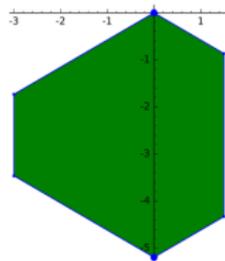
# of cases =  $2^{\#\text{pos. roots}}$ .

# Connection to MV polytopes

- Connects on work of Kamnitzer on (stable) Mirkovič-Vilonen (MV) polytopes.
- Moment polytopes of (MV) cycles that play a central role in the geometric Satake equivalence in the geometric Langlands program.
- In bijection with  $\mathcal{B}(-\infty)$ , allowing connection with crystal graphs. (**requires transcendental techniques!**)
- The Iwasawa algorithm recovers the  **$i$ -Lusztig data**  $\mathbf{m} = (m_1, \dots, m_N) \in \mathbb{Z}_{\geq 0}^N$  of an MV polytope

MV polytopes for  $\Phi = A_2$ ,  $\underline{i} = (1, 2, 1)$ 

$$\mathbf{m} = (1, 2, 3)$$



$$\mathbf{m} = (1, 2, 1)$$

Our choice of  $\underline{i}$  implies that the Lusztig data encodes the edge lengths on the *right-ward* path.

# Crystal expansion

## Theorem (McNamara)

Let  $\underline{i} = (i_1, \dots, i_N)$  be a reduced expression of  $w_0$ . There is a formal expansion of the Spherical Whittaker function  $I_\lambda$  has a sum

$$I_\lambda = \sum_{\mathbf{m} \in MV} I_\lambda(\mathbf{m}),$$

where  $MV$  is the set of MV polytopes of type  $G$ .

For applications, both to number theory and representation theory, need to compute these terms and reduce to a finite sum.

# Expectations

$G = \mathrm{SL}_{r+1}$ , **best possible**  $\underline{i}$ : recovers Tokuyama's formula (as well as metaplectic version of Brubaker-Bump-Friedberg)

## Conjecture (McNamara, '09)

For a general group  $G$  and reduced word  $\underline{i}$ ,

$$I_{\lambda}(\mathbf{m}) = 0 \text{ unless } \mathbf{m} \in \mathcal{B}(\lambda + \rho).$$

- **FALSE:** Already counter-examples exist with  $G = \mathrm{GL}(4)$ .
- The reason why shines a spotlight on an interesting family of MV-polytopes.

# Resonant MV-polytopes

Fix a long word  $\underline{i} = (i_1, i_2, \dots, i_N)$ . The set of all (stable) MV polytopes  $MV$  is in bijection

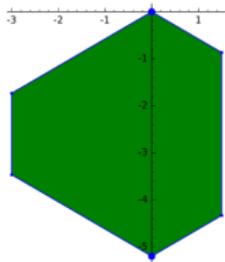
$$MV \longrightarrow \mathcal{B}(-\infty),$$

by associating to  $M$  its  $\underline{i}$ -Lusztig data  $\mathbf{m} = (m_1, \dots, m_N)$ .

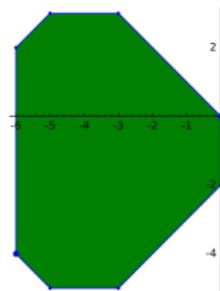
## Rough definition

Let  $M$  have  $\underline{i}$ -Lusztig data  $\mathbf{m}$ . We say  $M$  has a **resonance** if there are two indices  $k \neq l$  with  $i_k = i_l$  and  $m_k = m_l$ , where  $\underline{i} = (i_1, \dots, i_N)$ .

# Examples of resonant MV polytopes



Type  $A_2$ ,  $\underline{i} = (1, 2, 1)$   
 $\mathbf{m} = (1, 2, 1)$



Type  $B_2$ ,  $\underline{i} = (1, 2, 1, 2)$ :  
 $\mathbf{m} = (1, 2, 3, 2)$

Our choice of  $\underline{i}$  implies that the Lusztig data encodes the edge lengths on the *right-ward* path.

These polytopes have additional symmetries, but do not seem to be singled out in the literature.

# Computing the algorithm

The decomposition produces certain coordinates

$\{(t_\alpha, w_\alpha)\}_{\alpha \in \Phi^+}$  whose valuations encode the Lusztig data.

$[m_\alpha = -\text{val}(w_\alpha)]$

- Study the MV-integrals

$$I_\lambda(\mathbf{m}) = \int_{C^i(\mathbf{m})} \varphi_\tau(u) \psi_\lambda(u) du$$

## Obstacle

We need to compute  $\psi_\lambda(u)$  in terms of the  $\underline{i}$ -Lusztig data.

- **Idea:** Take advantage connection with MV polytopes to use algebro-geometric work of Berenstein-Zelevinsky-Fomin on **total positivity**.

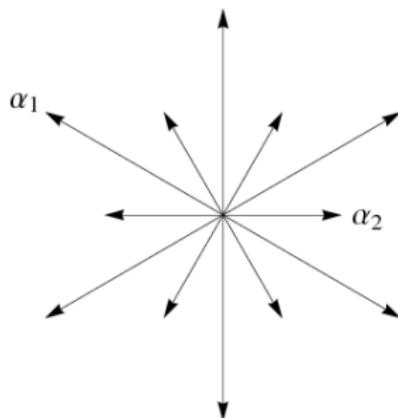
## Connecting to total positivity (L)

- 1 Write  $\psi_\lambda(u) = \psi(s_1(u) + \cdots + s_r(u))$ .
- 2 The algorithm implies  $s_i(u) = h_i(t_\alpha, w_\alpha)$  is a Laurent polynomial with  $\mathbb{Z}$ -coefficients
- 3 **Key step:** interpret in terms of certain birational map used in studying total positivity in double Bruhat cells.

### Corollary

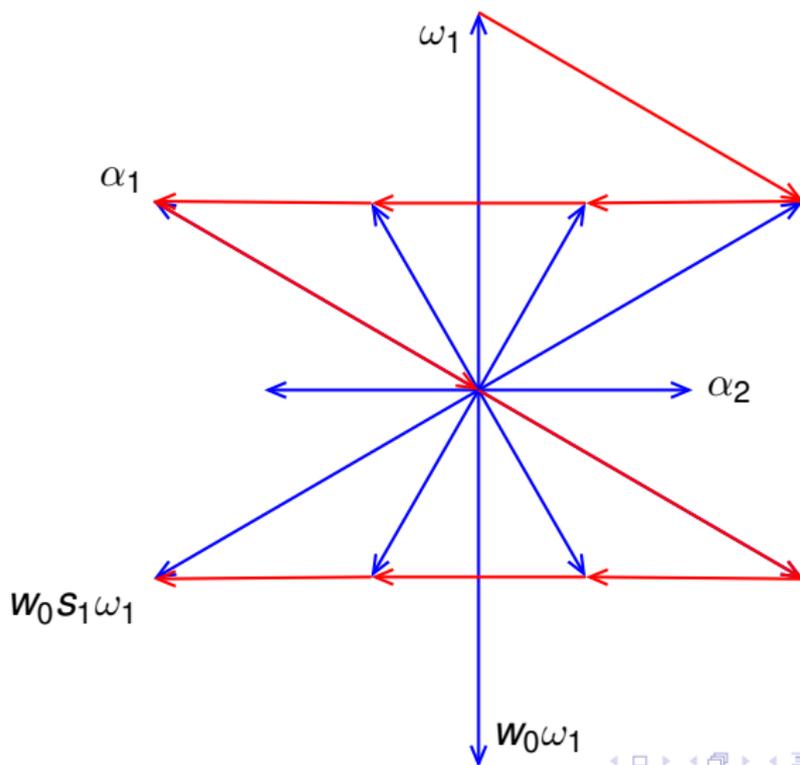
*You can explicitly compute  $h_i(t_\alpha, w_\alpha)$  as a sum of paths through the weight lattice: these paths are called  $\underline{i}$ -trails.*

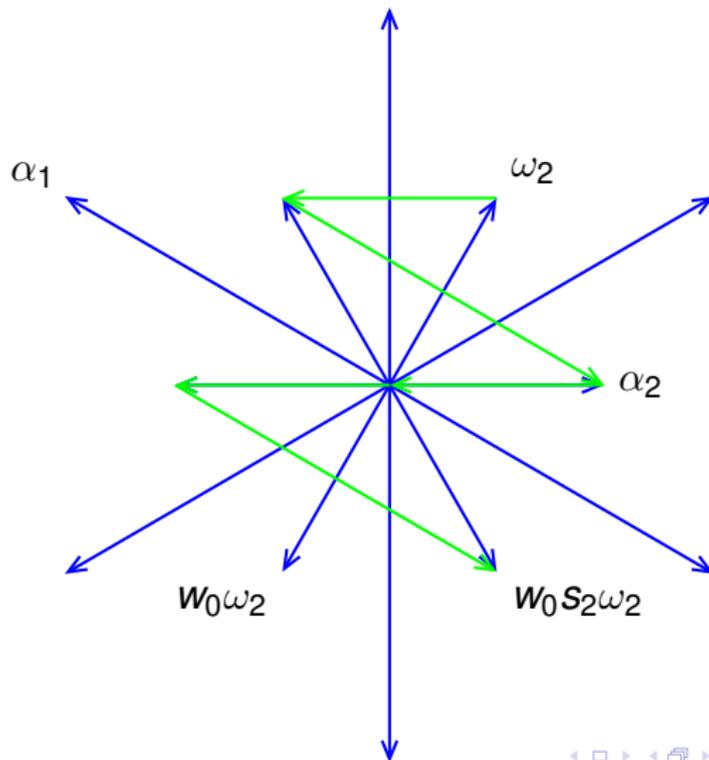
# Exceptional group $G_2$



- We choose the reduced decomposition  $w_0 = s_2 s_1 s_2 s_1 s_2 s_1$ .
- $\alpha_2 < \alpha_1 + 3\alpha_2 < \alpha_1 + 2\alpha_2 < 2\alpha_1 + 3\alpha_2 < \alpha_1 + \alpha_2 < \alpha_1$
- $\omega_1, \omega_2$  the fundamental weights

$i$ -trails  $\pi : \omega_1 \rightarrow w_0 s_1 \omega_1, i = (2, 1, 2, 1, 2, 1)$



$i$ -trails for  $h_2(t_\alpha, w_\alpha)$ ,  $i = (2, 1, 2, 1, 2, 1)$ 

# The polynomials $h_j(t_\alpha, w_\alpha)$ for $G_2$

Using the formula, we find that

$$h_1(t_\alpha, w_\alpha) = t_6 = X_6$$

and

$$h_2(t_\alpha, w_\alpha) = \frac{t_1 w_2 w_3}{w_5 w_6} + \frac{t_2 w_3^2}{w_5 w_6} - t_5 + 2 \frac{t_3 w_4}{w_6} - \frac{t_3^2 w_4}{w_5 w_6} - \frac{t_4 w_5}{w_6}.$$

# Consequences

- 1 This formula gives a (new?) simple way of writing down the bounding hyperplanes for  $\mathcal{B}(\lambda)$ .
- 2 Not hard to show that  $I_\lambda(\mathbf{m}) = 0$  for *generic*  $\mathbf{m} \notin \mathcal{B}(\lambda + \rho)$ .
- 3 The remaining cases are identified with a certain set of **resonant polytopes**, which need to be computed.

## Remainder of Talk: application to $G_2$

Focus on the case of  $G_2$  to illustrate the geometry of resonant polytopes and derive a **new Tokuyama-type formula** for highest weight characters of type  $G_2$ .

MV-integrals for  $G_2$ 

Fix  $\mathbf{m} \in \mathcal{B}(-\infty)$ , and fix a dominant weight  $\lambda = \lambda_1\omega_1 + \lambda_2\omega_2$ .

## MV-integral

$$I_\lambda(\mathbf{m}) = \prod_{\alpha \in \Phi^+} (q^{-1}x_\alpha)^{m_\alpha} \int_{C^i(\mathbf{m})} \psi \left( \varpi^{\lambda_1} t_6 + \varpi^{\lambda_2} h_2(t_\alpha, w_\alpha) \right) dt.$$

$$h_2(t_\alpha, w_\alpha) = \frac{t_1 w_2 w_3}{w_5 w_6} + \frac{t_2 w_3^2}{w_5 w_6} - t_5 + 2 \frac{t_3 w_4}{w_6} - \frac{t_3^2 w_4}{w_5 w_6} - \frac{t_4 w_5}{w_6}.$$

# Computing the MV-integrals for $G_2$

## Evaluation of integrals (L)

Let  $I_\lambda(\mathbf{m})$  be the MV-integral associated to  $\mathbf{m}$ .

- If  $\mathbf{m} \in \mathcal{B}(\lambda + \rho)$ ,  $I_\lambda(\mathbf{m})$  is the standard contribution.
- If  $\mathbf{m} \notin \mathcal{B}(\lambda + \rho)$  then  $I_\lambda(\mathbf{m}) = 0$  unless

$$m_3 = m_5, \quad m_4 > 0, \quad \text{and} \quad \text{val}(X_4) = \lambda_2 + 2k.$$

In all cases, the integrals are simple polynomials in  $p^{-1}$ .

- Infinitely many resonant MV cycles with non-zero contribution.

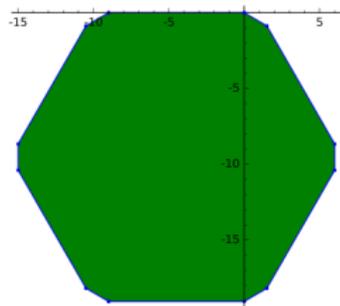
# Resonant MV-polytopes for $G_2$

## Definition

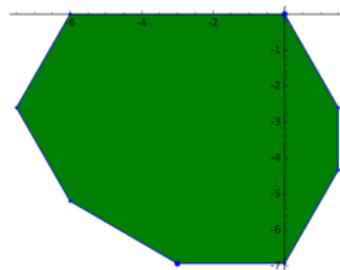
An Miković-Vilonen polytope  $M$  for  $G_2$  is **resonant** for the long word  $\underline{i} = (2, 1, 2, 1, 2, 1)$  if  $m_3 = m_5$ , where  $M$  has  $\underline{i}$ -Lusztig data

$$\mathbf{m} = (m_1, m_2, m_3, m_4, m_5, m_6).$$

We say it is **totally resonant** if  $m_2 = m_6$  as well.

Examples of Resonant MV polytopes,  $\Phi = G_2$ 

$$\mathbf{m} = (3, 1, 3, 1, 3, 1)$$



$$\mathbf{m} = (1, 0, 1, 1, 1, 0)$$

Again, our choice of  $\underline{i}$  has the Lusztig data encoding edge lengths on the “right-ward” path.

# Resonance families

Keep our dominant weight  $\lambda$  and fix a weight  $\mu$ .

- In general, no additional structure on the set of (stable) MV-polytopes of weight  $\mu$ .

## Theorem (L)

For a fixed weight  $\mu$ , the set  $RMV(\mu)$  of resonant MV-polytopes of weight  $\mu$  may be equipped with operations

$$e_1, e_2, f_1, f_2 : RMV(\mu) \longrightarrow RMV(\mu) \cup \{0\},$$

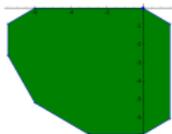
which decompose the set into a disjoint union of (truncated) Kashiwara crystal graphs of type  $A_1 \times A_1$ .

New combinatorial representation theoretic structure on resonant polytopes.

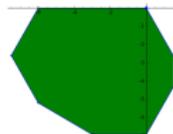
# Example: Resonance family of weight (8, 5)



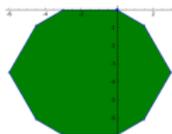
$$\mathbf{m} = (1, 0, 0, 4, 0, 0)$$



$$\mathbf{m} = (1, 1, 0, 3, 0, 1)$$



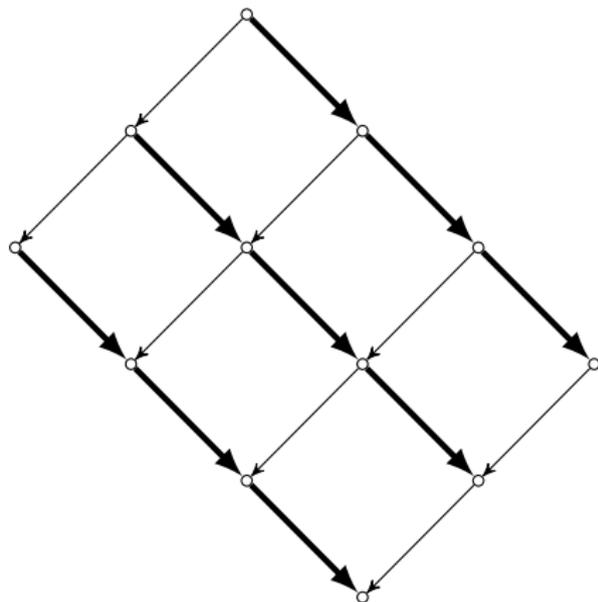
$$\mathbf{m} = (1, 0, 1, 1, 1, 0)$$



$$\mathbf{m} = (1, 1, 1, 0, 1, 1)$$

# Families outside of $\mathcal{B}(\lambda + \rho)$

- Most Resonance families do not meet  $\mathcal{B}(\lambda + \rho)$ ,
- These are full crystal graphs.



# Sums over Resonance Families

These families are detected by the sums in the spherical Whittaker function

## Theorem (L)

Let  $RF$  be the resonance family such that  $RF \cap \mathcal{B}(\lambda + \rho) = \emptyset$ .

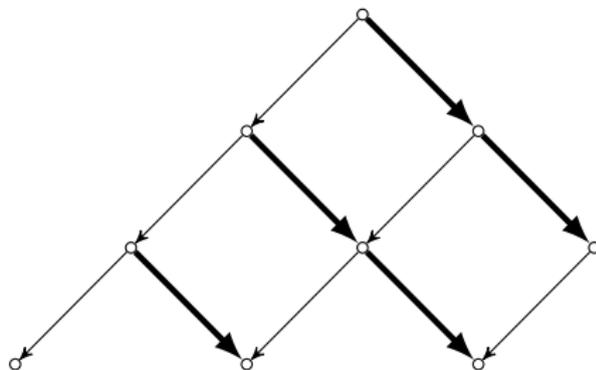
Then

$$\sum_{\mathbf{m} \in RF} I_{\lambda}(\mathbf{m}) = 0.$$

This reduces  $I_{\lambda}$  to a sum over finitely many bases elements, but more than  $\mathcal{B}(\lambda + \rho)$ .

## $\lambda$ - Relevant Resonance Families

- In a **finite number of cases**, a resonance family with intersect  $\mathcal{B}(\lambda + \rho)$ .
- The crystal graph is truncated at the wall of  $\mathcal{B}(\lambda + \rho)$
- The sum cannot be cancelled  $\Rightarrow$  must incorporate these terms into the sum.



Tokuyama-esque formula for  $G_2$ 

## Theorem (L)

For  $\lambda$  dominant weight and  $\tau \in T^V(\mathbb{C}) \subset G_2(\mathbb{C})$ , then

$$\prod_{\alpha \in \Phi^+} (1 - p^{-1} \tau^\alpha) \chi_\lambda(\tau)$$

$$= \sum_{\nu \in \mathcal{B}(\lambda + \rho)} H_{std}^*(\nu) \tau^{wt(\nu) - w_0(\rho)} + \sum_{\substack{RF(\mathbf{m}) \\ \lambda\text{-relevant}}} Err_\lambda(q^{-1}, \mathbf{m}),$$

for an explicit family of “geometric error” polynomials  $Err_\lambda(q^{-1}, \mathbf{m})$ .

It is expected that this “geometric error term” is related to reduction multiplicities  $G_2 \rightsquigarrow SL_2$ .

# Conclusion

- (geometry  $\Rightarrow$  Whittaker:) connection to crystal graphs (uses transcendental methods)
- (Whittaker  $\Rightarrow$  geometry:)  $p$ -adic techniques reveal hidden structure on MV polytopes.